

# Outsourcing of Portfolio Management

## List of Cooperation Agreements

As part of our implementation of Article 32(3) of Commission Delegated Regulation (EU) 2017/565 on organisational requirements and operating conditions for investment firms (MiFID Org Regulation), we are required to publish a list of the supervisory authorities in third countries with which we have cooperation agreements that meet the requirements set out at Article 32(2) of the MiFID Org Regulation.

This list can be used by firms to assess their compliance with Article 32(1) of the MiFID Org Regulation. Firms should also consider the outsourcing requirements set out at Articles 30 and 31 of the MiFID Org Regulation and in SYSC 8 of the FCA Handbook.

<b>MOU/cooperation agreement</b>	<b>Supervisory Authority</b>
<b>Abu Dhabi</b>	Financial Services Regulatory Authority
<b>Albania</b>	Albanian Financial Supervisory Authority
<b>Andorra</b>	Institut Nacional Andorra de Finances
<b>Angola</b>	Comissão do Mercado de Capitais
<b>Argentina</b>	Comisión Nacional de Valores
<b>Armenia</b>	Central Bank of Armenia
<b>Australia</b>	Australian Securities and Investments Commission
<b>Bahamas</b>	Securities Commission of The Bahamas
<b>Kingdom of Bahrain</b>	Central Bank of Bahrain
<b>Bangladesh</b>	Bangladesh Securities and Exchange Commission
<b>Bermuda</b>	Bermuda Monetary Authority
<b>Federation of Bosnia and Herzegovina</b>	Securities Commission of the Federation of Bosnia and Herzegovina
<b>Brazil</b>	Comissão de Valores Mobiliários
<b>British Virgin Islands</b>	British Virgin Islands Financial Services Commission
<b>Brunei</b>	Autoriti Monetari Brunei Darussalam

<b>Canada</b>	Office of Superintendent of Financial Institutions British Columbia Securities Commission Ontario Securities Commission Autorité des marchés financiers Alberta Securities Commission
<b>Cayman Islands</b>	Cayman Islands Monetary Authority
<b>Central Africa</b>	Commission de Surveillance du Marché Financier de l'Afrique Centrale (Securities and Exchange Commission of Central Africa)
<b>China</b>	China Securities Regulatory Commission
<b>Colombia</b>	Superintendencia Financiera de Colombia
<b>Dubai</b>	Dubai Financial Services Authority
<b>Ecuador</b>	Superintendencia de Compañías, Valores y Seguros
<b>Egypt</b>	Financial Regulatory Authority
<b>El Salvador</b>	Superintendencia del Sistema Financiero
<b>Guernsey</b>	Guernsey Financial Services Commission
<b>Hong Kong</b>	Securities and Futures Commission Insurance Authority of Hong Kong
<b>India</b>	Securities and Exchange Board of India Reserve Bank of India
<b>Indonesia</b>	Indonesia Financial Services Authority
<b>Isle of Man</b>	Isle of Man Financial Services Authority
<b>Israel</b>	Israel Securities Authority
<b>Jamaica</b>	Financial Services Commission
<b>Japan</b>	Financial Services Agency
<b>Jersey</b>	Jersey Financial Services Commission
<b>Jordan</b>	Jordan Securities Commission
<b>Kenya</b>	Capital Markets Authority
<b>Republic of Korea</b>	Financial Services Commission Financial Supervisory Service Securities and Futures Commission

<b>Kuwait</b>	Capital Markets Authority
<b>Labuan</b>	Labuan Financial Services Authority
<b>Former Yugoslav Republic of Macedonia</b>	Securities and Exchange Commission of the Republic of Macedonia
<b>Malawi</b>	Reserve Bank of Malawi
<b>Malaysia</b>	Securities Commission
<b>Maldives</b>	Capital Market Development Authority
<b>Republic of Mauritius</b>	Financial Services Commission
<b>Mexico</b>	Comisión Nacional Bancaria y de Valores
<b>Mongolia</b>	Financial Regulatory Commission
<b>Montenegro</b>	Securities and Exchange Commission of Montenegro
<b>Morocco</b>	Autorité Marocaine du Marché des Capitaux
<b>New Zealand</b>	Financial Markets Authority Reserve Bank of New Zealand
<b>Nigeria</b>	Securities and Exchange Commission
<b>Sultanate of Oman</b>	Capital Market Authority
<b>Pakistan</b>	Securities and Exchange Commission
<b>Palestine</b>	Palestine Capital Market Authority
<b>Panama</b>	Superintendencia del Mercado de Valores
<b>Peru</b>	Superintendencia del Mercado de Valores
<b>Qatar</b>	Qatar Financial Centre Regulatory Authority Qatar Financial Markets Authority
<b>Republic Srpska</b>	Securities Commission of the Republic Srpska
<b>Russia</b>	The Bank of Russia
<b>Saudi Arabia</b>	Capital Market Authority
<b>Republic of Serbia</b>	Securities Commission
<b>Singapore</b>	Monetary Authority of Singapore
<b>South Africa</b>	Financial Services Board South African Reserve Bank
<b>Sri Lanka</b>	Securities and Exchange Commission of Sri Lanka

<b>Switzerland</b>	Swiss Financial Market Supervisory Authority
<b>Syria</b>	Syrian Commission on Financial Markets and Securities
<b>Taiwan</b>	Taiwan Financial Supervisory Commission
<b>Tanzania</b>	Capital Markets and Securities Authority
<b>Thailand</b>	Securities and Exchange Commission
<b>Trinidad and Tobago</b>	Trinidad and Tobago Securities and Exchange Commission
<b>Tunisia</b>	Conseil du marché financier
<b>Turkey</b>	Capital Markets Board
<b>Turks &amp; Caicos Islands</b>	Turks & Caicos Islands Financial Services Commission
<b>Uganda</b>	Capital Markets Authority
<b>United Arab Emirates</b>	Securities and Commodities Authority
<b>United States of America</b>	Commodity Futures Trading Commission Securities and Exchange Commission Financial Industry Regulatory Authority
<b>Uruguay</b>	Banco Central del Uruguay
<b>Vietnam</b>	State Securities Commission
<b>West African Monetary Union</b>	Conseil régional de l'épargne publique et des marchés financiers
<b>Zambia</b>	Securities and Exchange Commission